

Jc869 U.S. PTO
 09/665926
 09/26/00

FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 1 of <u>1</u>	ATTORNEY DOCKET NO. 0102/0137	SERIAL NO.
	APPLICANT Yasuhiro UEKI	
	FILING DATE Sept. 20, 2000	GROUP

U.S. PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
	J					

FOREIGN PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation	
						Yes	No
	J.P.	1-21764	1/25/89	Japan Aranou et al.		X	
	J.P.	3-296976	12/27/91	Japan Sakuma		X	
	J.P.	6-139696	5/20/94	Japan Nagumo		X	
	J.P.	10-92158	4/10/98	Japan Kaneshige et al.		X	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

Ref. Desig.	Examiner's Initials	
	J	

Examiner: <i>Toshio Aran</i>	Date Considered: <i>3/31/01</i>
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EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for Form 1449A/PTO (Modified)

Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

MAR 29 2002

Sheet 1

of

2

Application Number	09/668,408
Filing Date	9/22/00
First Named Inventor:	Ellison
Group Art Unit	2183
Examiner Name	
Attorney Docket Number	042390.P8628X

U.S. PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ²	Kind (If known)			
		4,278,837		Best	7/14/81	
		4,521,852		Gutttag	6/4/85	
		5,022,077		Bealkowski et al.	6/4/91	
		5,255,379		Melo	10/19/93	
		5,293,424		Holtey et al.	3/8/94	
		5,421,006		Jablon et al.	5/30/95	
		5,459,869		Spilo	10/17/95	
		5,473,692		Davis	12/5/95	
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		5,835,594		Albrecht et al.	11/10/98	
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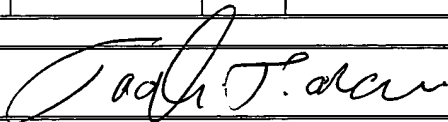
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FOREIGN PATENT DOCUMENTS

Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (If known)				
			EP1146715		European Patent Application	10/17/01		
			WO0062232		PCT	10/19/00		
			WO9905600		PCT	2/4/99		
			WO9957863		PCT	11/11/99		
			WO9844402		PCT	10/8/98		

Examiner
Signature


Date Considered

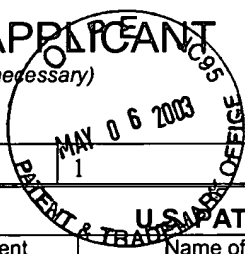
3/31/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449/APTO (Modified) INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Complete if Known			
		Application Number	09/668,408		
		Filing Date	September 22, 2000		
		First Named Inventor:	Carl M. Ellison		
		Group Art Unit	2183		
		Examiner Name	Unassigned		
Sheet	1	of	1	Attorney Docket Number	042390.P8628X



U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (If known)			
D.P.		6,505,279		Phillips et al.	01-07-2003	
		5,079,737		Hackbarth	01-07-1992	
		5,628,022		Ueno et al.	05-06-1997	
		6,192,455		Bogin et al.	02-20-2001	
		6,085,296		Karkhanis et al.	07-04-2000	
		6,148,379		Schimmel	11-14-2000	
		6,499,123		McFarland et al.	12-24-2002	
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		5,386,552		Garney	01-31-1995	
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		5,757,919		Herbert et al.	05-26-1998	
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		6,292,874		Barnett	09-18-2001	
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		6,339,815		Feng et al.	01-15-2002	
		5,872,994		Akiyama et al.	02-16-1999	
D.A.		6,301,646		Hostetter	10-09-2001	
		6,321,314		Van Dyke	11-20-2001	
		2001/0021969		Burger et al.	09-13-2001	
		2001/0027527		Khidekel et al.	10-04-2001	

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FOREIGN PATENT DOCUMENTS								
Exam. Initial*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (If known)				
D.P.			WO 97/29567		Fortress U & T Ltd.	08-14-1997		
			WO 01/75565		Intel Corporation	10-11-2001		
			WO 01/75595		Intel Corporation	10-11-2001		
			WO 01/27723		Hewlett-Packard Company	04-19-2001		
D.A.			WO 01/27821		Hewlett-Packard Company	04-19-2001		

Examiner Signature	<i>Jaqui D. Aron</i>	Date Considered	3/31/04
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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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#5

Substitute for Form 1449A/PTO (Modified)				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(Use as many sheets as necessary)</small>				Application Number	09/668,408
				Filing Date	September 22, 2000
				First Named Inventor:	Carl M. Ellison
				Group Art Unit	2131
				Examiner Name	Unassigned
Attorney Docket Number	042390P8628x				
Sheet 2	of 2				
OTHER ART – NO PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
J.A.		GOLDBERG, R., "Survey of virtual machine research," IEEE Computer Magazine 7(6), pp. 34-45, 1974.			
J.A.		GUM, P.H., "System/370 Extended Architecture: Facilities for Virtual Machines," IBM J. Research Development, Vol 27, Number 6, pp. 530-544, November 1983			
J.A.		ROSENBLUM, M. "Vmware's Virtual Platform: A Virtual Machine Monitor for Commodity PCs," Proceedings of the 11th Hotchips Conference, pp. 185-196, August 1999.			
J.A.		LAWTON, K., "Running Multiple Operating Systems Concurrently on an IA32 PC Using Virtualization Techniques," http://www.plex86.org/research/paper.txt ; 11/29/1999; pp. 1-31.			

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Examiner Signature	<i>Carl M. Ellison</i>	Date Considered	3/31/04
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¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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Substitute for Form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	09/668,408
Filing Date	9/22/00
First Named Inventor:	Carl M. Ellison
Group Art Unit	2183
Examiner Name	
Attorney Docket Number	042390.P8628X

Sheet

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2 of 2

OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
V.A.		"M68040 USER'S MANUAL", 1993, MOTOROLA INC., page 1-5 - page 1-9, page 1-13- page 1-20, page 2-1-page 2-3, page 4-1, page 8-9-page 8-11	
		"INTEL 386 DX MICROPROCESSOR 32-BIT CHMOS MICROPROCESSOR WITH INTEGRATED MEMORY MANAGEMENT", 12/31/95, INTEL INC., page 32-56; figure 4-14	
		JOE HEINRICH:"MIPS R4000 MICROPROCESSOR USER'S MANUAL", 1994, MIPS TECHNOLOGY INC., MOUNTAIN VIEW, CA, pages 67-79	
		BERG C: "HOW DO I CREATE A SIGNED APPLETT?", DR. DOBB'S JOURNAL, M&T PUBL., REDWOOD CITY, CA, US, VOL. 22, NO. 8, 8 '97, page 109-111, 122	
J.P.		GONG L ET AL: "GOING BEYOND THE SANDBOX: AN OVERVIEW OF THE NEW SECURITY ARCHITECTURE IN THE JAVA DEVELOPMENT KIT 1.2", PROCEEDINGS OF THE USENIX SYMPOSIUM ON INTERNET TECHNOLOGIES AND SYSTEMS, MONTEREY, CA 12 '97, pages 103-112	

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Signature

Tagle, J. Aron

Date
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¹Unique citation designation number. ²Applicant is to place a check mark here if English language Translation is attached.

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STATEMENT BY APPLICANT

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Sheet 1 of 2

Application Number	09/668,408
Filing Date	9/22/00
First Named Inventor:	Carl M. Ellison
Group Art Unit	2183
Examiner Name	Unassigned
Attorney Docket Number	042390.P8628X

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Exam. Initial*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Code ²	Kind (If known)			
<i>S.P.</i>		4,307,214		Birney, et al.	7/19/97	
		4,366,537		Heller, et al.	12/28/82	
		5,075,842		Lai	12/24/91	
		5,890,189		Nozue, et al.	3/30/99	
		5,987,557		Ebrahim	11/16/99	
<i>D.A.</i>						

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FOREIGN PATENT DOCUMENTS

[illegible]

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Signature

Date Considered

2/3/104

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Substitute for Form 1449A/PTO (Modified)

Complete if Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number

09/668,408

Filing Date

9/22/00

First Named Inventor:

Carl M. Ellison

Group Art Unit

2183

Examiner Name

Attorney Docket Number

042390.P8628X

Sheet

2

of

2

OTHER ART - NO PATENT LITERATURE DOCUMENTS

Examiner
Initials*Cite
No¹

Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

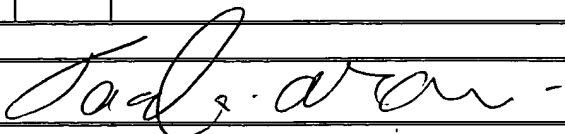
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J. HEINRICH: "MIPS R4000 Microprocessor User's Manual," April 1, 1993, MIPS, MT.
VIEW, XP002184449, pp. 61-97.

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Examiner
Signature


Date

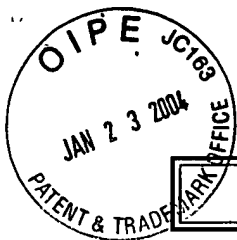
Considered

3/21/04

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Managing a Secure Environment Using a Chipset in Isolated Execution Mode							
Application Number:		09/668408						
Confirmation Number:		2377						
First Named Applicant:		Carl Ellison				RECEIVED JAN 2 8 2004 Technology Center 2100		
Attorney Docket Number:		42P08628X						
Art Unit:		2131						
Examiner:		Ayaz R. Sheikh						
Search string:		(20030018892 or 4162536 or 4247905 or 4276594 or 4307447 or 4319323 or 4347565 or 4759064 or 4795893 or 4825052 or 4907270 or 4907272 or 4910774 or 5007082 or 5139760 or 5317705 or 5319760 or 5434999 or 5437033 or 5442645 or 5459867 or 5504922 or 5511217 or 5522075 or 5528231 or 5533126 or 5566323 or 5606617 or 5720609 or 5721222 or 5737604 or 5796835 or 5825875 or 5854913 or 5867577 or 5900606 or 5901225 or 5903752 or 5970147 or 6061794 or 6075938 or 6092095 or 6115816 or 6182089 or 6212635 or 6222923 or 6252650 or 6269392 or 6272637 or 6308270 or 6314409 or 6330670 or 6357004 or 6363485 or 6374286 or 6374317 or 6378072 or 6389537 or 6397242 or 6412035 or 6421702 or 6435416 or 6445797 or 6463535 or 6463537 or 6507904 or 6535988 or 6557104 or 6633963 or 6633981).pn.						
US Patent Documents								
Note: Applicant is not required to submit a paper copy of cited US Patent Documents								
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	
<i>J.A.</i>	1	20030018892	2003-01-23	Tello, Jose				
	2	4162536	1979-07-24	Morley, Richard E.				
	3	4247905	1981-01-27	Yoshida, Yukihiro , et al.		711	166	
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<i>J.A.</i>	5	4307447	1981-12-22	Provanzano, Salvatore R., et al.				

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7	4347565	1982-08-31	Kaneda, Saburo , et al.
8	4759064	1988-07-19	Chaum,
9	4795893	1989-01-03	Ugon, Michael
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13	4910774	1990-03-20	Barakat, Simon
14	5007082	1991-04-09	Cummins, Mary T.
15	5139760	1994-06-07	Mason, Andrew H., et al.
16	5317705	1994-05-31	Gannon, Patrick M., et al.
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18	5434999	1995-07-18	Goire, Christian , et al.
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20	5442645	1995-08-15	Ugon, Michel , et al.
21	5459867	1995-10-17	Adams, Phillip M., et al.
22	5504922	1996-04-02	Seki, Yukihiro , et al.
23	5511217	1996-04-23	Nakajima, Atsushi , et al.
24	5522075	1996-05-28	Robinson, Paul T., et al.
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27	5566323	1996-10-15	Ugon, Michel
28	5606617	1997-02-25	Brands, Stefanus A.
29	5720609	1998-02-24	Pfefferle, William C.
30	5721222	1998-02-24	Bernstein, Peter R., et al.
31	5737604	1998-04-07	Miller, David A., et al.
32	5796835	1998-08-18	Saada, Charles
33	5825875	1998-10-20	Ugon, Michel
34	5854913	1998-12-29	Goetz, John W., et al.
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36	5900606	1999-05-04	Rigal, Vincent
37	5901225	1999-05-04	Ireton, Mark A., et al.
38	5903752	1999-05-11	Dingwall, Thomas J., et al.
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40	6061794	2000-05-09	Angelo, Michael E.
41	6075938	2000-06-13	Bugnion, Edouard , et al.

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43	6115816	2000-09-05	Davis, Derek L.
44	6182089	2001-01-30	Ganapathy, Narayanan , et al.
45	6212635	2001-04-03	Reardon, David C.
46	6222923	2001-04-24	Schwenk, Joerg
47	6252650	2001-06-26	Nakaumra, Kouji
48	6269392	2001-07-31	Cotichini, Christian , et al.
49	6272637	2001-08-07	Little, Wendell L., et al.
50	6308270	2001-10-23	Guthery, Scott B., et al.
51	6314409	2001-11-06	Schneck, Paul B., et al.
52	6330670	2001-12-11	England, Paul , et al.
53	6357004	2002-03-12	Davis, Derek L.
54	6363485	2002-03-26	Adams, Carlisle
55	6374286	2002-04-16	Gee, John K., et al.
56	6374317	2002-04-16	Ajanovic, Jasmin , et al.
57	6378072	2002-04-23	Collins, Thomas , et al.
58	6389537	2002-05-14	Davis, Derek L., et al.
59	6397242	2002-05-28	Devine, Scott W., et al.
60	6412035	2002-06-25	Webber, Victor
61	6421702	2002-07-16	Gulick, Dale E.
62	6435416	2002-08-20	Slassi, Tarik
63	6445797	2002-09-03	McGough, Paul , et al.
64	6463535	2002-10-08	Drews, Paul C., et al.
65	6463537	2002-10-08	Tello, Jose A.
66	6507904	2003-01-14	Ellison, Carl M., et al.
67	6535988	2003-03-18	Poisner, David L.
68	6557104	2003-04-29	Vu, Son T., et al.
69	6633963	2003-10-14	Ellison, Carl M., et al.
70	6633981	2003-10-14	Davis, Derek L.

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Remarks

Note: Remarks are not for responding to an office action.

Applicants, in accordance with their duty of disclosure under 37 CFR 1.56 and in accordance with 37 CFR 1.97(b)(3), hereby submit this Electronic Information Disclosure Statement citing U.S. Patent Documents for consideration by the Examiner. Pursuant to 37 CFR 1.97, the submission of this Electronic Information Disclosure

Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability. This Electronic Information Disclosure Statement is being filed prior to a substantive examination of the claims. Pursuant to 37 CFR 1.97(b), no fee should be required for the filing of this Electronic Information Disclosure Statement. In the event it is determined that a fee is due, please charge the fee to Deposit Account 02-2666. Applicants respectfully request that the cited documents be considered and that the form be initialed by the Examiner to indicate such consideration and a copy thereof be returned to Applicants' attorney of record.

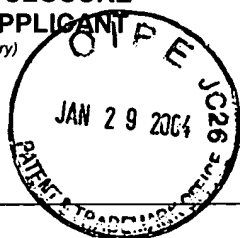
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Examiner Name	Date
<i>Tadhi P. Arani</i>	3/31/04

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STATEMENT BY APPLICANT**

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Application Number	09/668408
Filing Date	September 22, 2000
First Named Inventor	Ellison, Carl
Group Art Unit	2131
Examiner Name	Sheikh, Ayaz

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Attorney Docket No: 42P08628X

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Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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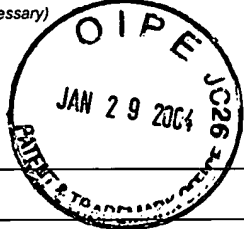
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OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
J.A.		SAEZ, SERGIO, et al., "A Hardware Scheduler for Complex Real-Time Systems", <u>Proceedings of the IEEE International Symposium on Industrial Electronics</u> , XP002190615, (July 1999), 43-48	
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